Process for formal IIA contributions and responses to matters of global importance to the profession.

The professional practice of internal auditing as defined by The IIA is dependent on a conducive environment that recognizes and supports its contribution to effective governance and enables full conformance with the International Professional Practices Framework (IPPF). The IIA seeks to influence the development of standards, guidance, regulation, legislation, professional codes and frameworks, education programs, thought leadership, and other practices that may have a direct bearing on internal auditing’s ability to provide optimal value to an organization’s pursuit of efficient, effective, ethical, and sustainable success.

Process for influencing the development of relevant practices:

I. Identify opportunities to contribute formally to the development of standards, guidance, regulation, legislation, professional codes and frameworks, education programs, thought leadership, and other practices relevant to the profession of internal auditing, by:

   • Developing and maintaining good relations with key strategic partners.
   • Participating routinely in working groups, advisory panels, standing committees, and similar to support ongoing development work.
   • Reviewing websites of target organizations regularly.
   • Maintaining Google alert for key words.
   • Engaging members and affiliate advocacy leaders to find and share comment opportunities.
   • Charting expected initiatives being undertaken by key organizations.

ii. Determine if response is warranted, by:

   • Sharing exposure draft documents to designated liaisons.
   • Seeking input from subject matter experts (SMEs) on the topic being addressed in the exposed document.
   • Sending members of the Global Advocacy Committee (GAC) exposure documents and asking for comments on the need to respond, and for specific edits to the exposure draft.
   • Preparing proposal for the relevant Vice President to seek agreement on a decision on whether to respond or not.

iii. Start response, by:

   • Agreeing on a work plan for the response.
• Establishing an ad hoc team for the purpose of drafting, soliciting, and consulting on the response, to include one or more SMEs to examine the exposure and detail changes or comments.
• Sharing the work plan with the ad hoc team.
• Assigning staff or volunteer lead to read/study the proposal in-depth and serve as draft writer.
• Informing CEO/President and IIA Communications that a response is underway and providing estimated timeframe for their review.
• Determining if decision to respond should be shared with affiliates.

iv: Draft response, by:

• Researching IIA related positions: positions include Standards & Guidance releases, previous response letters, thought leadership, and position papers.
• Interviewing SMEs for appropriate direction/opinions. If Standards & Guidance is the topic, contact appropriate IIA guidance directors so they can reach out to their committee chairs.
• Sharing first draft with the managing director of global advocacy, relevant vice president, and SMEs involved, including GAC committee member(s).

v. Finalize response, by:

• Sharing final draft with managing director of global advocacy, relevant vice president, and Executive Vice President and Chief Operations Officer.
• Sending draft to Director of Communications for final content and copy edit.
• Sending final draft to President and CEO for review, approval, and signature.

vi: Submit formal IIA response, by:

• Uploading to agency/organization via website or email as required. If submission requires a PDF and word doc, remove signature from word doc.

vii: Share response with The IIA community, by:

• Submitting ticket for placement on IIA NA and Global websites.
• Including in global newsletters and elsewhere as appropriate.
• Sharing final document with reviewers, SMEs, and influencers.