Pre-conference Workshop: Ready and Relevant
4.2 CPE *Additional Fee to Attend | NASBA Field of Study: Auditing | Learning Level: Intermediate

Tim Berichon, CISA, CGMA
Director, Insights & Intelligence
The Institute of Internal Auditors

This workshop will focus on getting internal auditors to the point where they have the confidence to say yes and not lose great opportunities to be strategically relevant. Ready & Relevant is for internal audit leaders, department heads, and managers who are looking to transform their teams to a more risk-based approach.

In this session, participants will:
- Delve into internal audit as a rotational department.
- Know what matters most — enterprise risk management (ERM).
- Understand how to build a more diverse resource plan.
- Learn the importance of focusing on competencies more than skills.
- Gain insights into proactive relationship management.
- Explore strategies for revisiting and overcoming challenges.

Tim Berichon is a finance leader and speaker whose diverse, in-depth experience in financial, operational, and compliance activities has spanned multiple divisions, processes, technologies, and international geographies. Adept at improving processes, controls, employee engagement, and customer service, he possesses a 30-year background in finance and operational management and leadership that includes internal audit, operational finance, public accounting, and strategic alliances. Berichon has provided internal audit leadership for multibillion-dollar global public companies, and operational finance leadership in both small and larger business units, including a $250m complex, international assignment.

CAE Forum: CAE Forum Pulse of Internal Audit (Audit Executive Center CAEs Only)
3.9 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

This session is designated for Chief Audit Executives only. To learn more about the Audit Executive Center visit www.theiia.org/AEC or contact AEC@theiia.org

In Conversation with Anthony Pugliese – Session details being finalized

Getting Real With Diversity, Equity & Inclusion – Session details being finalized
Board Perspectives on ESG—Session details being finalized

2021 Pulse of Internal Audit Discussion (Audit Executive Center CAEs Only)—Session details being finalized

Tuesday | March 16, 2021 10:00 a.m. – 11:15 a.m. ET
Opening Comments and the American Hall of Distinguished Audit Practitioners Awards

Opening Keynote: Bad Blood — The Rise and Fall of Theranos: A Conversation With John Carreyrou
1.5 CPE | NASBA Field of Study: Behavioral Ethics | Learning Level: Intermediate

John Carreyrou
Pulitzer Prize Winner and Best-selling Author and Investigate Journalist

Moderator:
William Michalisin, CIA
Executive Vice President and Chief Operating Officer
The Institute of Internal Auditors

John Carreyrou authored the New York Times bestseller, Bad Blood: Secrets and Lies in a Silicon Valley Startup. He was the first to break the scandal surrounding the failed biomedical startup Theranos in his reporting for The Wall Street Journal. In this talk, Carreyrou will discuss the ethical lapses, credulous media coverage, and lax oversight that allowed Theranos to achieve a “unicorn” valuation of $9 billion. He will also share lessons companies, journalists, and future business leaders can learn from its fall.

John Carreyrou is a two-time Pulitzer Prize-winning investigative reporter at The Wall Street Journal and the New York Times bestselling author of Bad Blood: Secrets and Lies in a Silicon Valley Startup. For his extensive coverage of Theranos, Carreyrou was awarded the George Polk Award for Financial Reporting, the Gerald Loeb Award for Distinguished Business and Financial Journalism in the category of beat reporting, and the Barlett & Steele Silver Award for Investigative Business Journalism.

William Michalisin is the Executive Vice President & Chief Operating Officer for The Institute of Internal Auditors (IIA), the global professional association and standard-setting body for internal auditors. The IIA serves more than 200,000 members in over 200 countries and territories and acts as the internal audit profession’s recognized advocate, educator, and provider of standards, guidance, and certifications globally.

In his current role, Michalisin leads operations and core services offered to IIA members globally, including, Membership, Certifications, Training & Conferences, Standards & Guidance and Professional Knowledge, Advocacy & Government Relations, Chapter and Institute Relations, Partnerships, Sales and Business Development.
Prior to joining The IIA, Michalisin was Industry Marketing Leader for Consumer & Industrial Products at Deloitte, which included responsibilities for cross-functional delivery within aerospace and defense, automotive, consumer products, process and industrial products, retail and distribution, and travel, hospitality, and leisure sectors. Earlier in his career, Michalisin was a consultant delivering business process strategy, risk management and fraud/forensic investigation services at both Deloitte Consulting and Accenture, to clients in multiple industry sectors.

Michalisin graduated magna cum laude from Columbia University with a master’s in Strategic Communications and has a bachelor’s degree in International Relations and Economics from Bucknell University. He also has his CIA designation and is a member of the Institute of Internal Auditors.

Tuesday | March 16, 2021 | 11:30 a.m. – 12:30 p.m. ET

CS 1-1: Session details being finalized
1.2 CPE | NASBA Field of Study: |Learning Level:

Mike Jacka, CIA
Chief Creative Pilot
FPACTS

Session description being finalized

**Mike Jacka** is chief creative pilot for FPACTS, a group dedicated to advancing internal audit skills. During a 30+-year internal audit career, he has been responsible for developing fraud investigation procedures for a 100-person audit shop, overseeing Farmers’ western regional auditing operations, and designing auditor training programs for a global organization of 200+ staff members. Jacka is a top-rated presenter, award-winning columnist, and contributor to *Internal Auditor* magazine, as well as co-author of two books published through the Internal Audit Foundation: *Auditing Social Media: A Governance and Risk Guide*, and *Message, Brand, and Dollars – Auditing Marketing Operations*, both in their second editions.

CS 1-2: Using Real-time Data to Mine Better Insights
1.2 CPE | NASBA Field of Study: Information Technology |Learning Level: Intermediate

Lisa Hartkopf
Partner, Americas Risk Markets Leader
EY
In a world of constant disruption and increasing pace of change, internal audit functions are striving to leverage technology to drive efficiency. This presentation will discuss one company’s journey to create a more efficient and risk-based internal audit process by enabling internal audit with real-time risk analytics and discovering ways to leverage risk analytics to better mitigate risk and enhance standardization of business processes.

In this session, participants will:
- Gain insights into the company’s data environment and business case for building analytics.
- Describe the tool and implementation journey.
- Understand the impacts on internal audit and the business.

Lisa Hartkopf serves as the Americas risk markets leader for EY’s consulting practice. She has more than 24 years of public accounting experience, working in assurance, transaction, and advisory services. Hartkopf leads innovation, thought leadership, strategy, and market activities for internal audit, internal controls, enterprise risk management, third-party risk management, compliance, and risk convergence. Previously, as Americas internal audit leader, she managed EY’s approach to internal audit and internal controls from Canada to Chile.

Fernando Garcia is an economist from the University of Zaragoza in Spain. He has a 25+ year background in audit, internal controls, risk management, and finance, gained in public accounting (Arthur Andersen both in Spain and USA) and Fortune 500 companies. Garcia presently leads the global internal audit function at Kraft Heinz. He previously served as chief audit executive at Sara Lee Corporation and Mondelez International. Originally from Zaragoza, Garcia has extensive international experience living and working in the US, Chile, UK, Spain, and Switzerland.
**Milene S. Carvalho** is EY’s US-West and Americas enterprise risk leader, responsible for creating an environment where people achieve their full potential in delivering risk management and compliance solutions that drive strategic value. She is passionate about creative thinking and bringing the best in people’s technical, personal, and managerial skills. During her 20-year tenure at EY, Carvalho worked extensively around the globe as a service partner on multiple consulting engagements. She has worked in the Miami and Dallas offices and was instrumental in developing EY’s risk consulting services in several countries in Latin America. She previously worked for Johnson Controls in Milwaukee and for Arthur Andersen in Brazil.

**CS 1-3: The Snakes and Ladders of External Quality Assurance Reviews**
1.2 CPE | NASBA Field of Study: | Learning Level:

Sue Ulrey, QIAL, CIA, CRMA, CFE, FCA, FBP  
Vice President  
Global Communications and Information

*Session description being finalized*

**CS 1-4: Internal Audit Competencies: Are You in the Know?**
1.2 CPE | NASBA Field of Study: Personal Development | Learning Level: Intermediate

Carrie Summerlin, CCSA  
Vice President, Internal Audit Foundation  
The Institute of Internal Auditors

Michael Schor, CIA, CPA, CISA  
Partner, Risk & Financial Advisory Practice  
Deloitte & Touche, LLP

Neil White  
Principal, Global Internal Audit Analytics Leader  
Deloitte & Touche LLP (US)

The global market for internal audit functions demands the development of competency levels that keep pace with changes in technology, operating models, and a dynamic risk environment. This session will examine the results of a global study on internal audit competency conducted by the Internal Audit Foundation and research partner Deloitte. Presenters will highlight opportunities related to The IIA’s Internal Audit Competency Framework as well as emerging knowledge areas where practitioners can elevate their professional skillsets and enhance the value they provide their organizations.
In this session, participants will:

- Break down the current state of competencies related to The IIA’s Competency Framework by a multitude of factors, including industry, geographic region, practitioner role, and certifications.
- Get an overview of the Internal Audit Foundation’s premier global research on assessing internal audit competency in partnership with Deloitte.
- Gain an understanding of how the profession fairs globally in the four knowledge areas of the competency framework: Professionalism, Performance, Environment, and Leadership and Communication.
- Identify opportunities for new skill development to advance competency that could apply to their internal audit function.

**Carrie Summerlin** is vice president of the Internal Audit Foundation, the research and grant-making arm of The Institute of Internal Auditors, which serves more than 200,000 members globally. She provides oversight for the Foundation's focus areas, including research and content development, academic grant programs, fundraising, strategic relationship management, and retail operations. During her 17-year tenure with The IIA, Summerlin has led various departments, including conferences, membership and chapter relations, and marketing. Before her appointment to the Foundation, she served as the managing director of conference and event operations.

**Michael Schor** is a partner in Deloitte’s risk and financial advisory practice, where he has almost 20 years of internal audit experience. He advises domestic and international clients on all matters of internal controls, including information technology, regulatory matters, risk management issues, and control and compliance management processes. Schor also leads Deloitte’s efforts around the modernization of key second and third line of defense functions, including the people, process, and technology considerations required to keep pace with rapidly evolving organizations and the emerging risks associated with these changes.

**Neil White’s Bio being finalized**

**Tuesday | March 16, 2021**

**Innovation Session:** *Session details being finalized, presented by Grant Thornton*

**Innovation Session:** *Session details being finalized, presented by Protiviti*
CS 2-1: A Conversation With the Author... Agile Auditing: Transforming the Internal Audit Process
1.5 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Debi Roth, CIA, CPA
Managing Director, Curriculum Strategy
The Institute of Internal Auditors

Rick A. Wright Jr., CIA
Director, Internal Audit and Enterprise Risk Management
YRC Worldwide
Author of Agile Auditing

Session description being finalized

Debi Roth is responsible for developing curriculum to support the internal audit profession as The IIA’s managing director of curriculum strategy. Previously, as The IIA’s director of quality services, she was responsible for managing staff, developing and maintaining client relationships, and executing external quality assessments for domestic and international clients. Roth has been directly involved in 200+ external quality assessments as a team member or administrator. She has held leadership positions with two IIA chapters and served as staff liaison to the Global Professional Development Committee (GPDC) and as a member of the Learning Solutions Committee. Prior, Roth was enterprise risk manager for WellCare Health Plans and manager of internal audit for AirTran Airways.

Rick A. Wright Jr. is director of internal audit and ERM for YRC Worldwide. He has 25+ years of experience in internal audit practice and training. His career has included roles with organizations such as The IIA, Kansas City Southern Railway, H&R Block, and Resources Global Professionals. As an adjunct professor, he has taught accounting, finance, fraud, and strategic management courses. He also founded Resonate Training and Assurance Services, LLC, where he continues to pursue his passion for adult learning as an author, speaker, and training facilitator. Wright is an active member of The IIA–Kansas City Chapter.

CS 2-2: Insights-driven Internal Audit
1.5 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Dhiraj Malhotra
Internal Audit, Compliance & Risk Management Solutions Principal
PwC
This presentation is focused on mapping risks, auditable units, and how departments measure risk in an organized way. It will also cover developing a strategic data map and setting up the key building blocks for success.

In this session, participants will:

- Understand the key components of an insights-driven internal audit function.
- Identify key building blocks for successful risk mapping.
- Describe how to develop a strategic data map.

**Dhiraj Malhotra** is a principal in PwC’s internal audit, compliance, and risk management solutions practice. He has spent more than 19 years helping clients manage and run businesses more effectively. From business process re-engineering of end-to-end business processes (procurement, supply chain, content management, contracting) to managing risks across functional areas for a variety of industries, Malhotra has advised Fortune 500 clients in transforming their business and compliance activities from strategy, framework, and execution to sustainable managed services platforms.

**Dave Hildebrand** is a partner in risk and regulatory with 20+ years of experience in external and internal audit with Fortune 500 clients across many industries. He leads PwC’s digital transformation practice for risk and regulatory, focusing on advanced analytics, automation, and technologies to achieve greater value in second/third line activities. Hildebrand has advised many clients through complex business transformations as well as advised first- and second-line functions on the cross-functional impacts of such transformations, including business processes, systems, and controls.

**Andrew Inman** is the director of digital and analytics audit services at Intel Corporation. In this role, he drives strategy to enable technology and digital learning in audit and advisory services for maximum impact and efficiency. His 19-year career at Intel has spanned several operations finance and internal audit areas, including manufacturing, construction, sales, and marketing. Prior to Intel, Inman served as an officer in the U.S. Navy.
CS 2-3: Small Audit Functions: Conforming With the Standards
1.5 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Monica Moyer Kessel, CIA, CRMA, CFE, CCEP, CICA
Director, Internal Audit
St. Leo University

According to The IIA Practice Guide “Assisting Small Internal Audit Activities in Implementing the International Standards for the Professional Practice of Internal Auditing,” the top five most challenging areas for small audit functions tend to be independence and objectivity, quality assurance/improvement programs, managing the internal audit activity, engagement planning, and performing the engagement. This presentation will address ways for small audit functions to implement the Standards with limited staff and financial resources.

In the session, participants will:
• Understand the five major challenges to conforming to the Standards for small audit functions.
• Learn how to evaluate their organization’s current level of conformance with the Standards and identify specific gaps and barriers.
• Discover specific examples and leading practices to help meet the challenges of conformance.

Monica Moyer-Kessel is the director of internal audit for Saint Leo University. She has 25+ years of internal audit and accounting/finance experience in the higher education, health care, insurance, and logistics industries. She has performed and/or led external quality assurance review (QAR) validations for many organizations. Moyer-Kessel has also performed QAR self-assessments and received “generally conforms” opinions regarding her small audit function's QAR independent validations. She has been involved with The IIA–Florida West Coast Chapter as treasurer and vice president of programming. She has presented and spoken for several associations, including The IIA, ACUA, URMIA, and FICPA.

CS 2-4: Ethical Leadership
1.5 CPE | NASBA Field of Study: Behavioral Ethics | Learning Level: Advanced

Michael “Bret” Hood, CFE
Director
21st Century Learning and Consulting, LLC

As soon as you ascend to a position of power, there are changes in the way your brain processes information and makes decisions. In this presentation, you will learn how these changes occur as well as how to create methods to positively engage your followers, increase intrinsic motivation, and maintain your ability to empathize with others.
In this session, participants will:

- Create a working definition of leadership.
- Discuss the importance of followership.
- Define illusory superiority.
- Explain the effects of power on the brain.
- Develop methods to mitigate the effects of power on leadership.

**Michael “Bret” Hood** is a retired 25-year FBI Special Agent who worked many complex financial crime, money laundering, corruption, and major cases including the 9/11 terrorist attacks, HealthSouth, and Maricopa Investments. He is an ACFE faculty member, forensic accounting professor for the University of Virginia and Mt. St. Mary’s University, and the author of two critically acclaimed leadership books, Eat More Ice Cream: A Succinct Leadership Lesson for Each Week of the Year and Get Off Your Horse! 52 Succinct Leadership Lessons from U.S. Presidents.

**Tuesday | March 16, 2021**

**2:30 p.m. – 3:00 p.m. ET**

**Innovation Session: Session details being finalized, presented by AuditBoard**

**Tuesday | March 16, 2021**

**3:00 p.m. – 4:15 p.m. ET**

**General Session 2: Being an Agent of Change in an Era of Disruption**

1.5 CPE | NASBA Field of Study: | Learning Level:

Richard F. Chambers, CIA, QIAL, CGAP, CCSA, CRMA
President and Chief Executive Officer
The Institute of Internal Auditors

*Session details being finalized*

**Richard F. Chambers**, CIA, QIAL, CGAP, CCSA, CRMA, is president and CEO of The Institute of Internal Auditors (IIA), the global professional association and standard-setting body for internal auditors. Chambers has more than four decades of internal audit and association management experience, mostly in leadership positions. Prior to taking the helm of The IIA in 2009, he was national practice leader in Internal Audit Advisory Services at PricewaterhouseCoopers; inspector general of the Tennessee Valley Authority; deputy inspector general of the U.S. Postal Service; and director of the U.S. Army Worldwide Internal Review Organization at the Pentagon. He currently serves on the Committee of Sponsoring Organizations of the Treadway Commission (COSO) Board of Directors; the International Integrated Reporting Council (IIRC); and The IIA Board of Directors, as well as the Georgia State University School of Accountancy Advisory Council and the University of Alabama Culverhouse School of Accountancy’s Professional Advisory Board. Chambers also has served on the U.S. President’s Council on Integrity and Efficiency. Accounting Today ranks Chambers as one of the Top 100
Most Influential People shaping the accounting profession, and he is recognized by the National Association of Corporate Directors (NACD) as one of the most influential leaders in corporate governance. In 2016, Chambers was honored by American City Business Journals’ Orlando Business Journal as a top CEO of the Year. Chambers has authored two award-winning books: Trusted Advisors: Key Attributes of Outstanding Internal Auditors, which was released in early 2017; and Lessons Learned on the Audit Trail, which is currently available in five languages.

Wednesday | March 17, 2021 | 10:00 a.m. – 11:15 a.m. ET
General Session 3: Cultivating Cultures of Inclusion and High Performance
1.5 CPE | NASBA Field of Study: Behavioral Ethics | Learning Level: Intermediate

Shirley Davis, Ph.D.
President and Chief Executive Officer
SDS Global Enterprises, Inc.

What does it take to create a welcoming, inclusive workplace culture that attracts and retains top talent, increases performance and engagement, and fosters collaboration and trust? Dr. Shirley Davis will detail the latest research and future trends on changing global demographics and how workers and work will be impacted. She’ll outline innovative strategies for creating winning cultures and great places to work, as well as identify the new leadership skills and competencies needed to achieve high performance, innovation, and inclusiveness.

In this session, participants will:

- Discuss the demographic disruptions that will define the workplace, workers, and work in the next decade and how that will necessitate more welcoming workplace cultures.
- Define company culture, how it is created, and how it is reinforced.
- Explain the business case and benefits for creating a winning culture in their organization.
- Identify best practices used by some of the world’s most admired companies and learn how they can contribute to building a welcoming, winning, and inclusive workplace.

Dr. Shirley Davis is an accomplished corporate executive, global workforce management expert, certified leadership coach, and master of reinvention. She has worked with leaders at all levels in 30+ countries on five continents and delivered 80+ speeches annually, bringing a high-energy, high-content, and high-value message to audiences worldwide. Her 20+ years of business experience in senior and executive leadership roles with Fortune 100 companies includes having served as vice president of global diversity and inclusion and workplace strategies for the Society for Human Resource Management. Dr. Davis has been a featured expert on numerous television shows and magazines, and she is the author of two LinkedIn Learning courses and two books.
Harold Silverman, managing director of professional practices at The Institute of Internal Auditors, will lead a discussion around key findings from The IIA’s second annual OnRisk 2021 report.

In this session, participants will:

- Study key risks for 2021 and beyond.
- Examine how management perceptions of risk relevance differs from those of boards and CAEs.
- Understand how perceptions of capability to manage key risks has changed.
- Identify COVID-19’s impact on perceptions of key risks.

Harold Silverman is the managing director of professional practices at The IIA. He serves as the liaison to the International Internal Audit Standards Board, oversees the development and maintenance of all aspects of the International Professional Practices Framework, and leads The IIA’s CAE services offerings, including thought leadership on emerging trends and leading practices. Silverman previously served as vice president of internal audit at The Wendy’s Company and at Houghton Mifflin Harcourt Publishing Co. He also held internal audit positions at Raytheon Co. and PwC, and he gained external audit experience at Arthur Andersen.

Lucas Morris
Senior Manager, Cybersecurity and Digital Risk Practices
Crowe LLP

Nathan Anderson, CISA, CRISC
Senior Director, Internal Audit
McDonald’s Corporation

Titus Weijma, CISSP, CISA, CDPSE
Global Technology & Digital Audit Lead
McDonald’s Corporation
Testing cybersecurity controls has long been the domain of penetration testers hired by IT audit teams. However, with the tools for testing cybersecurity controls more accessible than ever, the time has come for IT audit to cross the chasm and begin testing the effectiveness of these technical controls. This session will cover basic tools and techniques for testing cybersecurity controls that your IT audit team can adopt.

In this session, participants will:

- Understand leadership’s cybersecurity questions and audit’s potential for answering them.
- Gain insight into the skillset, mindset, approach, and specific tools required to test cybersecurity controls.
- Explore methods that will help their team become an effective, independent cybersecurity testing function.

Lucas Morris has more than 15 years of information security experience. As a senior manager and leader within the cybersecurity and digital risk practices at Crowe, he focuses on helping clients develop more secure environments by conducting penetration testing, implementing data privacy protections, and supporting executive management as a virtual information security officer. In his free time, he serves as a professor of cybersecurity, develops new tools and methodology, and leads and supports collegiate security competitions.

Nathan Anderson has 20 years of technology risk, software consulting, and IT audit experience. As technology and digital audit team leader for McDonald’s, he oversees a global team performing audits over privacy, cloud security, fraud data analytics, cybersecurity, data quality and integrity, and third-party governance. The team is working to enable technical testing of cybersecurity controls and increase the use of data analytics to identify fraud and inappropriate spend within back-office financial processes. Anderson also manages the IT aspect of Sarbanes-Oxley compliance across six countries. His primary area of focus is cybersecurity and privacy. Prior to McDonald’s, he led the IT audit function at Sears Holdings.

Titus Weijma is a manager in McDonald’s global IT audit team, which performs audits over cybersecurity, privacy, cloud security, data quality and integrity, compliance, and third-party governance. His primary area of focus is cybersecurity and privacy. Weijma joined the global internal audit function within McDonald’s in 2015 and has since led numerous technical and security audits, the IT testing of Sarbanes-Oxley compliance, and the privacy compliance program. In addition, he builds and maintains cybersecurity computer platforms from which cross-country penetration assessments are executed, and he actively seeks methods to automate test steps.
CS 3-3: Combined Assurance and the Three Lines: Enhancing Alignment and Collaboration
1.2 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Anand Bhakta
Director, Risk Solutions
AuditBoard

Serhat Khan, CPA, CMA, CISA
Vice President, Internal Audit
McDermott

Session description being finalized

Anand Bhakta is the senior director of risk solutions at AuditBoard, a leading cloud-based platform transforming how enterprises manage risk. He has 15+ years of IT audit and consulting experience. Specializing in risks and controls related to the implementation of ERP systems, Bhakta has helped various multinational companies evaluate and/or implement application controls in ERP systems, including Oracle Financials, SAP, and PeopleSoft. In addition to being recognized for his ability to develop and implement agile auditing programs and data analysis tools, he has assisted clients in complying with Sarbanes-Oxley from both an advisory and attestation perspective.

Serhat Khan is vice president of internal audit at McDermott, responsible for overseeing internal audit and internal controls efforts globally. His significant record of success within the energy and EPC industry includes 20 years delivering internal audit services, facilitating SOX compliance, and conducting risk assessments at both public and private companies. Khan previously served as chief audit executive at National Petroleum Construction Company and held senior accounting and audit roles at Kohler Company. In addition to his professional duties, he routinely serves as a speaker and panelist for numerous national and international professional organizations and conferences.

CS 3-4: Better, Faster, Happier... Kicking and Screaming
1.2 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Sarah Adams, CISA, CRISC, ICAgile Professional
Managing Director
Deloitte & Touche LLP

Stephanie D'Elia
Managing Director
Goldman Sachs
Yes it is true! Agile will create better, faster, happier teams and stakeholders. But the journey is not a straight line. There are many challenges and pitfalls, and as a large, diverse, global internal audit function, we have experienced them all! We persevered and discovered there is pot of gold at the end of the rainbow. Join us as we discuss the good, bad, and ugly of agile internal audit transformation, and why it’s worth it.

In this session, participants will:

- Unharness the power of their teams, even at the most junior level, to make a meaningful impact on the value of their audits.
- Build internal coaching capabilities to develop and sustain the mindset shift necessary for better, faster, happier.
- Develop new leadership capabilities designed to create the function our new and ever-changing world requires.

Sarah Adams is a managing director at Deloitte & Touche LLP with more than 30 years of audit, technology, operations, and IT risk and controls experience. She served as U.S. and then global IT internal audit leader. Currently, Adams is the global agile internal audit leader, developing firm methodology and working with clients to transform their internal audit practices by applying agile techniques. She is actively working with multiple companies across industries to implement agile internal audit. Additionally, she has presented at conferences and delivered trainings for The IIA and ISACA on a variety of audit topics.

Stephanie D’Elia’s Bio being finalized

Anthony Reid’s Bio being finalized

Ranjani Narayanan is a leader in the risk and financial advisory practice and the co-leader of the Deloitte Agile internal audit initiative, leading the charge on developing the Agile internal audit framework, rolling out strategy, and building eminence in the marketplace. She is passionate about taking clients through their Agile transformation, including conceptualizing ideas, facilitating mindset shift, and coaching them from pilots to transformation through their steady state. Narayanan currently leads one of the largest internal audit global retail organizations, helping with strategy and compliance activities. Her experience spans internal audit,
internal controls (business process and information technology), and security and controls implementation. She speaks frequently at IIA events.

**Wednesday | March 17, 2021**  
12:30 p.m. – 1:15 p.m. ET

**Innovation Session:** *Session details being finalized*

**Wednesday | March 17, 2021**  
1:15 p.m. – 2:30 p.m. ET

**CS 4-1: Non-GAAP, Non-Financial, ESG and Operational Measures: Role of Internal Audit**

1.5 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Moderator:
Princy Jain, CIA  
Partner  
PwC

Julia Wyckoff, CIA  
Chief Audit Executive  
Intuit, Inc.

Scott Schulze  
Head of Internal Audit  
Autodesk

Laurie A. Hanover, CIA  
Vice President, Internal Audit (Chief Audit Executive)  
Sunrun Inc.

Most of these measures are reported without any independent audits; therefore, there is a risk that reporting of these measures may not follow adequate procedures and may be prone to undetected errors. Over the last few years, companies have been implementing formal processes and controls around these measures to enable appropriate reporting to their stakeholders. In many cases, internal audit plays a critical role in auditing these measures.
In this session, participants will:

- Understand different measures that are reported and challenges in reporting such measures that are not part of independent external audits.
- Discuss various approaches and skills needed to audit these reportings.
- Learn about board and audit committee expectations for internal audit to support auditing of such measures.
- Gain insights into different approaches and projects internal audit can execute to support the organization with auditing measures and managing stakeholder and regulator expectations.

**Princy Jain** is an experienced consulting professional with over twenty-eight years experience serving multinational clients in a variety of sectors including the last seventeen years in Silicon Valley. Accomplished in the challenges facing global companies, including business and technology transformation, merger integration, finance and process improvement, and global expansion. Princy’s expertise also spans across multiple business functions including risk management, internal audit and controls. He is an active volunteer, served on the North American and Global Board of the IIA and on the Northern California’s Board of Ascend. Princy is a Chartered Accountant from India and a CIA.

**Julia Wyckoff** is a Silicon Valley executive with extensive auditing and finance experience across the technology, interactive entertainment, retail, and payments industries. She joined Intuit Inc. in 2019 as chief audit executive. Prior to Intuit, she served for three years as head of Google audit at Alphabet, where she started up a Google audit team and managed the transition of ownership for Alphabet’s SOX program from internal audit to the controllership function. Before Alphabet, Wyckoff held leadership roles in accounting, FP&A, finance transformation, and internal audit at KPMG, Hewlett-Packard Co., Electronic Arts, Gap Inc., and Visa.

Scott Schulze’s Bio being finalized

**Laurie A. Hanover** is the chief audit executive at Sunrun Inc., the nation’s largest residential solar provider. She leads a team focused on internal audit, SOX compliance, and enterprise risk management. Previously, Hanover founded and led the global internal audit teams at Twitter and Zynga. She also held internal audit leadership positions at Cisco, EA, and Levi Strauss. She started her career designing and building Oracle systems before becoming a Big Four risk assurance senior manager, first at Andersen and then at PwC. Hanover is a board member of The IIA—San Francisco and chair of the Sunrun Pride+ Employee Resource Group.
The global pandemic has forced organizations to adapt to the new realities of remote work, social distancing, and alternative working arrangements. The uncertainties of the global economic landscape have increased exposure to existing and new IT and cyber risks. This session explores real-life examples of how audit shops used robotic process automation (RPA) to extend their assurance coverage to face these uncertainties and deliver more in the areas of IT risk and cybersecurity.

In this session, participants will:

- Learn how to extend the coverage and impact of their IT and cybersecurity audit strategy and resources by applying RPA techniques so their team can efficiently operate even amidst a crisis.
- Examine the specific benefits of IT audit automation, including data-driven IT risk assessments, full automation of IT SOX and audit control testing, and improved relationships with IT stakeholders.
- Develop an ROI calculation/business case for their enhanced IT audit strategy to evaluate the impact of automation on their organization.

**Nonie Dalton** is the director of product management at Galvanize, where she focuses on defining and managing go-to-market strategy, and working cross-functionally to define product strategy. An experienced product leader, she is passionate about connecting people with innovative technologies that help drive their success.

**Curtis Josey Jr.’s Bio being finalized**

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**CS 4-3: Assurance by Design: Three Lines Model During Major System Implementations**

1.5 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Sandy Pundmann, CIA, CRMA, CPA
Senior Partner, Global Internal Audit
Deloitte & Touche LLP
A moderated panel will share real experiences and lessons learned to facilitate an “assurance by design” risk management approach before, during, and after an ERP transformation. Panelists will consider assurance by design principles to ensure risk and controls considerations upfront and business, process, and controls readiness before go live; dynamic involvement of internal audit (when and how?); optimization of collaboration across the three lines; and management of politics, implementers, operations, and business perspectives to build the right capabilities and controls.

In this session, participants will:

- Understand the full landscape of risk and controls during a major system implementation, and how to evaluate roles and responsibilities for managing this risk.
- Explore leading practices across the three lines to demonstrate added value back to the program.
- Describe risk management capabilities that are often missed during transformation.

**Sandy Pundmann** has 39+ years of business experience in internal audit, enterprise risk management, accounting, finance, and information technology. She has served a variety of large multinational clients across a broad range of industry sectors, including technology, media, telecommunications, retail, and consumer products. As senior partner with Deloitte & Touche, she leads the Chief Audit Executive Program, and as a member of the global internal audit executive team, she has led innovation in agile, digital, and cyber IT internal audit; client experience labs; and the future of internal audit. Pundmann previously served as U.S. managing partner and leader of the firm’s internal audit offering.

**Sean Eirich’s Bio being finalized**

**Melissa Kandel** has 20+ years of experience providing internal audit readiness and compliance services for multinational companies. She serves as head of internal audit at Peloton, establishing the control environment, creating a SOX 404 readiness program, and building the global internal audit function. Previously, Kandel led the internal audit function at Shake Shack, where she spearheaded ERP system implementation, ERM, and governance initiatives. Her background includes establishing and rationalizing SOX and internal controls programs for global public companies, as well as companies that have gone through an IPO. Collaborating with the business, she brings a risk and controls lens to key strategic initiatives to foster a culture of risk awareness and forward-looking insights.
Organizations must keep pace with disruptive changes in the market. This means internal audit functions must also remain at the forefront of innovation and use other key competencies to continue driving a safe, sound control environment for their organizations. How can internal audit forge a path to greater impact and influence?

In this session, participants will:

- Identify the strategic importance of having greater impact and influence.
- Understand how internal audit teams are working toward greater impact and influence in their organizations.
- Share ideas on how to embrace developments that will impact and transform internal audit functions.

Marc Sabino’s Bio being finalized

Wednesday | March 17, 2021
2:30 p.m. – 3:00 p.m. ET

Innovation Session: Session details being finalized, presented by Walters Kluwer

Wednesday | March 17, 2021
3:00 p.m. – 4:00 p.m. ET

CS 5-1: Making Remote Auditing Work
1.2 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Robert Berry, CIA, CPA, CISA, CCEP
President and Chief Executive Officer
ThatAuditGuy.com

We’ve been thrust into the world of remote work. Which means it is a perfect opportunity to take advantage of operational efficiencies when you use technology effectively to win at remote auditing. First, there is a general description of the various tools. Then, we explore the audit process. And finally, we describe tools and techniques for specific parts of the audit process. Topics will include the case for remote auditing and audit execution.
In this session, participants will:

- Identify tools to make their life easier while remote auditing.
- Set up their workspace with minimal cost but maximum benefit.
- Get tips for planning better remote audits and saving time on fieldwork documentation.
- Collaborate with audit colleagues and clients.

Robert Berry’s Bio being finalized

CS 5-2: HGTv for Internal Audit: A Case Study for Renovating SOX Testing
1.2 CPE | NASBA Field of Study: Information Technology | Learning Level: Intermediate

Ernest Anunciacion, CIA, SSBB
Director, Product Marketing
Workiva

Gary Bradbury, CIA, CCSA, CFSA, CRMA, CPA
Vice President, Internal Audit and ERM
National Rural Utilities Cooperative Finance Corporation (NRUCFC)

Bill Hatcher
Senior Manager
Clearview Group

Information technology and data management aren’t what they were last year, let alone last decade. By managing unstructured and structured data in a single spot, internal audit teams can collect, aggregate, and manage work in just a few clicks. Hear how teams can tear SOX processes down to the studs, creating a showroom-worthy function that can minimize risk, maximize control, and boost insight and collaboration across the three lines of defense.

In this session, participants will:

- Identify universal challenges and areas of waste within a manual SOX testing process.
- Assess best practices for implementing innovative technology to support SOX and internal audit methodologies.
- Highlight the ways in which partnerships provide value to SOX and internal audit initiatives.
Ernest Anunciacion brings more than 15 years of experience in internal audit, risk management, and business advisory consulting to his role as a director of product marketing focused on Workiva’s internal audit solution. A former chief audit executive, he has an extensive background in complex risk and control analysis and process improvement within the financial services, manufacturing, media, technology, healthcare, and agriculture industries. His expertise also includes ERM and risk advisory consulting.

Gary Bradbury has 25+ years of auditing experience. He is vice president of internal audit and enterprise risk management (ERM) (chief audit executive) for the National Rural Utilities Cooperative Finance Corporation. During his 19-year tenure, he reestablished the in-house internal audit function and developed the organization’s SOX 404 and ERM programs. Bradbury served as The IIA’s District Representative for the Baltimore; Washington, DC; and Northern Virginia chapters for four years. He has been a member of the NOVA chapter for the past 15 years and currently serves on the chapter’s board of governors.

Bill Hatcher is a senior manager with Clearview Group and has 12+ years of experience in risk advisory and internal audit solutions. He focuses on helping companies with risk advisory solutions, including implementation and optimization of Sarbanes-Oxley compliance, the delivery of outsourced and co-sourced internal audit projects, public company transformation activities, IT governance, and data privacy programs. Based in the Washington, D.C., metropolitan market, Hatcher serves as president of IIA–Northern Virginia.

CS 5-3: Auditing (and Understanding) Your ERP Beyond ITGC
1.2 CPE | NASBA Field of Study: Auditing | Learning Level: Advanced

Brian Tremblay
Compliance Practice Leader
Onapsis

ERPs are incredibly complex, business-critical applications; outages or breaches can irreparably damage your organization and brand. The global pandemic has exacerbated the risks to your ERP, and the threat landscape that surrounds them continues to evolve and become more significant. What happens if you ‘ace’ ITGCs and do all the right things for your network and perimeter, yet are still completely exposed to risks you’re unaware of?
In this session, participants will:

- Understand the complexity of an ERP system, why they are of high interest to threat actors, and how the pandemic has only increased their interest.
- Hear about the hidden risks that exist in their ERP that can create the same risks ITGCs seek to address in different ways.
- Learn the shortcomings of traditional ERP auditing approaches (specifically ITGCs).
- Discover what internal auditors can do to proactively help their organizations identify and mitigate these risks.

**Brian Tremblay** leads the compliance practice at Onapsis, helping customers understand and navigate the increasing overlap of compliance, cybersecurity, and business continuity related to IT general controls and regulatory and compliance matters such as SOX and the GDPR. Previously, as CAE for Acacia Communications, he founded and led the internal audit function, helped prepare the organization to go public, and facilitated its implementation of ERM. Prior, as director of internal audit at Iron Mountain, Tremblay oversaw all audits and projects within North America and liaised with global quality managers. Prior, as a senior manager at Houghton Mifflin Harcourt, he built out an internal audit department and implemented SOX. Earlier in his career, he worked at Raytheon and Deloitte.

**CS 5-4: Future-proof Your Team by Accessing Digital Skills**

1.2 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Jonathan Pizarro
Head of Product, Americas
Wolters Kluwer, TeamMate

Alexander Barr
Senior Finance Manager, Digital Innovation & Analytics, Global Audit & Assurance
Johnson & Johnson

Now more than ever, as organizations continue to evolve their technology platforms, internal auditors need to acquire digital skills. This session will walk you through how to assess your team’s current digital skills and create a plan for the future.

In this session, participants will:

- Understand why it is critical for internal audit departments to assess their team’s current digital skill set.
- Learn of the implications organizations could face if they don’t properly assess their IT risks.
- Hear about methods and tools organizations can leverage to get their teams up to speed.
Jonathan Pizarro brings more than 10 years of enterprise product management experience and is part of TeamMate’s global product management team. He is responsible for ensuring that TeamMate’s clients in the Americas are well represented within the TeamMate product portfolio. Pizarro is heavily focused on providing innovative solutions to real market problems, with an emphasis on voice of customer and contextual design.

Alexander Barr’s Bio being finalized

Thursday | March 18, 2021 10:00 a.m. – 11:15 a.m. ET

General Session 4: Economic Forecast, Emerging Risks, and Survival Strategies
1.5 CPE | NASBA Field of Study: Finance Technical | Learning Level: Intermediate

John Manzella

Session description being finalized

John Manzella has provided insight, analysis, and strategic direction on global business, trade policy, labor, and the latest economic trends to many world-leading corporations and associations. He has authored several books and founded both the ManzellaReport.com and Manzella Trade Communications, Inc. His views have appeared in The Wall Street Journal, New York Times, Bloomberg, NPR, and other major publications worldwide. Manzella was appointed chair of the Upstate New York District Export Council by the U.S. Secretary of Commerce and is chief strategy officer of Ignition Life Solutions, former executive director of goTRADE New York, and former president and CEO of World Trade Center BN.

Thursday | March 18, 2021 11:30 a.m. – 12:30 p.m. ET

CS 6-1: American Corporate Governance Index: Key Findings and Opportunities for Internal Audit
1.2 CPE | NASBA Field of Study: Ethics | Learning Level: Intermediate

Jim Pelletier, CIA, CGAP
Vice President, Standards & Professional Guidance
The Institute of Internal Auditors

Corporate governance encompasses the unique system of rules, practices, processes, and controls by which an organization operates. The IIA and the University of Tennessee’s Neel Corporate Governance Center recently released the American Corporate Governance Index, providing insight into how companies perform in key areas based on Guiding Principles of Corporate Governance. In addition to discussing ACGI findings, this session will introduce participants to a new tool from The IIA for auditing corporate governance.
In this session, participants will:

- Understand the core actions and responsibilities that promote successful, ethical, and sustainable corporate governance.
- Recognize the important roles necessary for effective corporate governance.
- Identify opportunities for internal audit to take an active role in improving governance in their organizations.

Jim Pelletier has more than 15 years of public and private sector internal auditing experience. Currently, as vice president of professional and stakeholder relations, he provides direction for The IIA’s Audit Executive Center; Financial Services Audit Center; American Center for Government Auditing; Environmental, Health and Safety Audit Center; and Global and North American Advocacy. Previously, Pelletier served as city auditor for the City of Palo Alto, CA and as chief of audits for the County of San Diego. His diverse auditing background includes roles at the California State University System, PETCO, State Street, and General Electric. Pelletier received The IIA’s John B. Thurston Award for outstanding paper in the field of internal auditing for his article, “Adding Risk Back into the Audit Process.” His book, “Collaborative Auditing,” is available through the Internal Audit Foundation.

CS 6-2: Increasing the Value of Analytics Using Technology and Continuous Monitoring
1.2 CPE | NASBA Field of Study: Management Services | Learning Level: Advanced

Meredith Murphy, CFE, CAMS
Leader, National Risk Data Analytics Practice
Grant Thornton

Brian Scherbaum
Senior Vice President, Internal Audit
Synchrony Financial

Katie Parcels, CISA
Director, Internal Audit Data Sciences
Discover Financial Services

Many internal audit departments are looking for ways to use technology and continuous monitoring to create value and efficiencies within their teams. Please join us for this session focused on using data and analytics to better understand your risk profile; identify and respond to changes in your risk profile; enable continuous monitoring across audits; and rapidly deploy continuous monitoring.
In this session, participants will:

- Identify technologies being used by internal audit teams to deploy and accelerate analytics.
- Explain approaches to enable continuous monitoring.
- Determine ways to apply data analytic approaches to risk monitoring and audits.

**Meredith Murphy** leads Grant Thornton’s national risk data analytics practice and is a partner with internal audit teams across various industries. Throughout her 19+ years in professional services, she has helped clients with data, risk, technology, and strategy challenges, driving risk focus and innovation within internal audit teams using data, analytics, and technology. Murphy leads Grant Thornton’s internal audit analytic team and has built out both the firm and her clients’ analytic capabilities, focusing on people, process, and technology. She authored Grant Thornton’s book, *Data Analytics: A Roadmap for Expanding Capabilities*, published in partnership with the Internal Audit Foundation. She previously worked at PwC on big data, analytic, internal audit, and forensic projects.

*Brian Scherbaum’s Bio being finalized*

*Katie Parcels’s Bio being finalized*

**CS 6-3: Compliance Risk Management: Applying the COSO ERM Framework**

1.2 CPE | NASBA Field of Study: Business Management and Organization | Learning Level: Intermediate

Paul Sobel, CIA, QIAL, CRMA  
Chairman  
Committee of Sponsoring Organizations of the Treadway Commission (COSO)

Patty Miller, CIA, QIAL, CRMA, CPA, CISA  
Owner  
PKMiller Risk Consulting, LLC

In a rapidly changing and unpredictable world, compliance risks continue to be prominent in any organization’s risk profile. In late 2020, the Committee of Sponsoring Organizations of the Treadway Commission (COSO) issued guidance to help organizations better understand, evaluate, and manage their compliance risks. Partnering with the Society of Corporate Compliance and Ethics (SCCE) and Health Care Compliance Association (HCCA), this guidance is designed to enhance the application of the COSO ERM framework when managing compliance risks.
In this session, participants will:

- Learn how COSO’s ERM framework provides principles that can be applied to managing compliance and ethics risks.
- Identify ways compliance professionals and internal auditors can leverage this guidance to better understand and evaluate compliance risks.
- Build on the chief audit executive’s role as trusted risk advisor, helping enhance management of compliance risks.

Paul Sobel is the chairman of COSO. He recently retired from Georgia-Pacific, where he served as vice president/chief risk officer and CAE. He has authored or co-authored four books: *Managing Risk in Uncertain Times; Auditor’s Risk Management Guide: Integrating Auditing and ERM; Internal Auditing: Assurance and Advisory Services;* and *Enterprise Risk Management: Achieving and Sustaining Success*. Sobel was The IIA’s Chairman of the Board for 2013–14, and has served in other IIA leadership roles. He has been named to Treasury & Risk Magazine’s 100 Most Influential People in Finance and The IIA’s American Hall of Distinguished Audit Practitioners. He has also received The IIA’s Bradford Cadmus Memorial Award and Victor Z. Brink Award.

Patty Miller is the owner of PKMiller Risk Consulting, LLC and has significant management and consulting experience. In her 14 years with Deloitte, she served as the lead risk services partner on significant technology and consumer clients. Her many IIA volunteer roles have included Chairman from 2008–09, executive committee member, and Chair of the Standards Board. She is a frequent speaker and trainer, and has led and co-authored research projects for The IIA. Miller is the recipient of the William G. Bishop III Lifetime Achievement, Victor Z. Brink, and American Hall of Distinguished Audit Practitioners Awards.

**CS 6-4: Internal Audit's Role in Furthering Diversity and Inclusion**

1.2 CPE | NASBA Field of Study: Personnel/Human Resources | Learning Level: Intermediate

Michael Schor, CIA, CPA, CISA
Partner, Risk & Financial Advisory Practice
Deloitte & Touche, LLP

Monica O'Reilly
Partner
Deloitte

Stacy Juchno, CPA
General Auditor
PNC Financial Services
Rhonda Bethea  
Senior Vice President, General Auditor  
Bank of America

Internal auditors are in a unique position to act as catalysts for change as organizations look for improvements in diversity and inclusion (D&I) initiatives. With their broad perspective over the enterprise and focus on risk and controls, internal auditors can offer significant actionable insights to help boards and management address issues and improve programs. We’ll discuss how leading internal auditors foster D&I within their functions and play a direct role in driving broad organizational D&I.

In this session, participants will:
- Achieve a baseline understanding of the current environment, focusing on the last seven months of social unrest and work-from-home environment.
- Describe the roles internal audit can play in providing assurance over enterprise initiatives.
- Establish how internal audit can further organizational objectives and play a leadership role.

Michael Schor is a partner in Deloitte’s risk and financial advisory practice, where he has almost 20 years of internal audit experience. He advises domestic and international clients on all matters of internal controls, including information technology, regulatory matters, risk management issues, and control and compliance management processes. Schor also leads Deloitte’s efforts around the modernization of key second and third line of defense functions, including the people, process, and technology considerations required to keep pace with rapidly evolving organizations and the emerging risks associated with these changes.

Monica O’Reilly’s Bio being finalized

Stacy Juchno is executive vice president and general auditor for PNC Financial Services. She is responsible for all aspects of the internal audit function, including providing assurance on the effectiveness of PNC’s risk management, control, and governance processes to the audit committee and board of directors. Juchno is executive leader of the PNC Military Employee Business Resource Group and a member of the PNC Foundation board of directors. She also serves on the board of directors of the United Way of SWPA and the board of trustees at Gannon University, and is co-chair of the UW Women’s Leadership Council.
Rhonda Bethea serves as Bank of America’s general auditor for global human resources, ESG, public policy, and key components of the chief administrative officer function, including global procurement, global corporate strategy, global real estate, corporate communications, and marketing. She also manages division-wide audit efforts for third-party and incentive compensation. Bethea previously led the global compensation and benefits team in BOA’s legal department, advising the bank and its board committees on executive compensation, employee benefits, SEC disclosures and related regulatory compliance, and corporate aviation. She is an enterprise executive sponsor for BOA’s Black Professional Group and serves on the Black Executive Advisory Council.

Thursday | March 18, 2021
Innovation Session: Session details being finalized

Thursday | March 18, 2021
CS 7-1: Aftermath Adjusting for the New Normal: Workplace Return Considerations
1.2 CPE | NASBA Field of Study: Personal/Human Resources | Learning Level: Intermediate

Kimberly Dickerson
Managing Director
Protiviti

As organizations plan for re-entering their offices, there are a number of logistical and practical matters to consider, and some theoretical ones as well. There needs to be a re-imagining of how many people will come to work, where they will sit, and with whom they will interact. Next, as with any disruptive change, it is important for leaders to identify process improvements.

In this session, participants will:
• Understand the importance of assessing the risk of returning to the workplace.
• Outline key considerations for developing a return-to-work plan.
• Discuss the importance of monitoring the return-to-work process and against evolving standards or events that may have occurred as an unintended consequence of pandemic response.

Kimberly Dickerson’s Bio being finalized
**CS 7-2: Auditing Enterprise-Wide and Emerging Risks**

1.2 CPE | NASBA Field of Study: | Learning Level:

Theresa Grafenstine, CIA, CPA, CGEIT, CGMA, CISA, CISSP, CRISC
Managing Director and Chief Auditor, Information Security and Continuity of Business
Citi

*Session description being finalized*

*Theresa Grafenstine’s Bio being finalized*

**CS 7-3: Seeing COSO Through a Social Media World**

1.2 CPE | NASBA Field of Study: Auditing | Learning Level: Intermediate

Michael J. Fucilli, CIA, QIAL, CRMA, CGAP, CFE
Principal
MAS Audit Services

Yes, auditors have heard of COSO, but do they really know how to apply it in their audits? Through interactive social media examples and current events in business and even in our social lives, this practical and entertaining session will demonstrate how to “See the World Through a COSO Lens.” You can apply this new view in your risk assessments at an ERM and individual audit level.

In this session, participants will:

- Discover how to transcend to a strategic advisor role to their company using the COSO model.
- Understand what COSO really means to internal audit departments.
- Discuss how to practically apply COSO concepts to their enterprise risk assessment and individual audits.
- Get tips for having better discussions with management on the impact of their work by tying their results to the corporate strategy.

**Michael J. Fucilli** has 40 years of internal auditing experience that includes financial services, public sector, defense contracting, inventory management, technology, COSO, and SOX reporting. His background includes 20+ years as a chief audit executive. Fucilli recently was the auditor general at the Metropolitan Transportation Authority, the largest public sector transit authority in North America, with operating revenues in excess of $15 billion and a capital program of $5 billion. He currently has his own audit and advisory company and is also an instructor for St. John’s University, teaching The IIA’s CIA Learning System for the Certified Internal Auditor exam.
CS 7-4: Wow the Board: Lead With the 2021 Audit Committee Agenda
1.2 CPE | NASBA Field of Study: Communication and Marketing | Learning Level: Intermediate

Stephen Brown, J.D.
Senior Advisor
KPMG Board Leadership Center

Debbie Messemer
Board Member
PayPal

Michael A. Smith, CPA
Advisory Partner
KPMG, LLP

This presentation will help attendees learn how to effectively convey the impacts of COVID-19 and other critical risks in the current year.

In this session, participants will:

- Focus on the scope and quality of their company’s ESG/sustainability reports and disclosures.
- Delve into how to assist their board in understanding how technology is impacting their finance organization’s talent, efficiency, and value-add.
- Focus on sharpening company ethics, compliance, and whistleblower programs, recognizing the increased pressure on employees.

Stephen L. Brown is a globally recognized governance professional, thought leader, and trusted advisor to corporate boards and C-suites. Currently, he is a senior advisor at KPMG Board Leadership Center. Formerly, he was CEO of the Society of Corporate Governance and led the corporate governance group at TIAA/Nuveen. Prior to TIAA, Brown practiced securities law at WilmerHale and Skadden and was an associate with Goldman Sachs. He serves on several advisory and nonprofit boards.

Debbie Messemer’s Bio being finalized

Michael A. Smith’s Bio being finalized
Thursday | March 18, 2021

Innovation Session: Session details being finalized

Thursday | March 18, 2021

Closing Keynote: Hacking the Pandemic: How Cybercrime Responded to COVID-19

Geoff White
Investigative Journalist and Technology Specialist

As coronavirus swept the world, cybercriminals did what they do best — adapted to survive. However, in contrast to the overwhelming online crime wave predicted by some, the picture was more nuanced. Investigative journalist Geoff White explores how the digital underworld reacted to the pandemic and the valuable lessons it teaches us about where cybercrime goes next.

In this session, participants will:

- Gain insights into the dynamics underpinning the cybercrime economy.
- Explore how cybercrime responds and adapts to global events.
- Understand the limits of, and headwinds faced by, the cybercrime industry.

Geoff White has covered it all as an investigative journalist — including election hacking, the dark web, and multibillion-dollar cyber heists. His work has been featured by BBC News, Channel 4 News, The Sunday Times, and more. His book, Crime Dot Com, takes you inside the murky world of the digital underground, uncovering cybercrime’s emergence as today’s global threat. It’s now being turned into a 10-part BBC podcast series. The twists and turns of White’s investigations into hacking have informed and entertained audiences from music festivals to political party conferences, and his live phone-hacking stage show was a sellout hit at the Edinburgh Fringe Festival.