Control Self-assessment

**Introduction**

This unit covers introductions and provides an overview of the seminar. In this unit, you will:

- Learn what to expect during the seminar

**Background and Overview**

This unit provides a definition of CSA and discusses what makes CSA unique. A fully-implemented view of CSA and benefits and concerns of CSA are also discussed. Upon completing this unit, you should:

- Understand what CSA is and what it is not
- Understand the basic benefits and concerns of CSA
- Know the origin and development of CSA
- Have discussed your basic CSA questions with the instructor and your peers

**Assessing Organization Culture**

In this unit, we will examine different types of organizations and their culture, and determine how employee empowerment impacts internal auditing and control self-assessment. We will also prepare a cultural analysis of your own organization. We'll close with a discussion of voting technology, and begin relating CSA to your own organizations. Upon completing this unit, you will:

- Understand how empowerment impacts internal auditing and CSA implementation
- Know how to use an instrument designed to assess organizational culture as it relates to CSA
- Understand how voting technology is used in CSA
- Begin to directly relate CSA to your own organization

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**CSA Formats**

This unit explains the various CSA formats in use, and the advantages and disadvantages of each. Upon completing this unit, you will:

- Understand the major formats organizations are using in CSA
- Be aware of the advantages and disadvantages of the various CSA formats
- Be able to identify the CSA format most practical for your organization

**Control Frameworks**

This unit provides an overview of two national control frameworks as well as one other internal control framework currently used in self-assessment. This unit also allows you to compare and analyze these frameworks. Upon completing this unit, you should:

- Be able to identify control frameworks available for use in CSA
- Understand how to use a framework in CSA

**Reporting and Quality Assurance**

This unit explains and gives examples of various CSA reports and discusses how the accuracy of CSA results is validated. Then several questions are posed to consider the impact CSA will have on the participants’ organizations. Upon completing this unit, you will:

- Understand how organizations are reporting CSA results.
- Understand the additional work needed to rely on CSA results if CSA is a part of audit coverage.
- Discuss the impact of implementing CSA in your organization.

**CSA Skills Introduction**

This unit deals with basic skills needed to facilitate a CSA workshop. The unit begins with an overview of facilitator responsibilities and traits, then discusses strategies for the different formats of workshops. The unit also uses mock workshops to help demonstrate the conduct of a workshop. Upon completing this unit, you will understand:

- CSA workshop facilitator responsibilities
- Some of the basic skills needed to facilitate a CSA workshop
- Several different approaches to facilitating workshops
- The importance of recording data in the workshop
In this unit, we will discuss CSA implementation. We will learn about the time and resources required to implement CSA, and different strategies used by organizations. We also discuss marketing, training and other resources for implementation. The course closes by beginning a CSA implementation decision process. Upon completing this unit, you will:

- Understand various implementation options available
- Be familiar with the resources CSA implementation requires
- Know what to do next with CSA
- Have begun a CSA implementation decision process