IIA Training Stations

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IIA Training 2020
Learn From The Leader

PROFESSIONAL DEVELOPMENT CATALOG
YOUR GUIDE TO Internal Audit Training & Development

TRAINING DELIVERY OPTIONS
The IIA offers training through a variety of delivery options. Use this icon/overview guide to understand the delivery options and determine what fits your needs effectively and efficiently.

In-person training is located conveniently throughout the U.S. and Canada all year long. It is hands-on, interactive, in-depth training with seasoned instructors who share real-world experience, reveal leading practices and techniques, and facilitate immersive peer-to-peer knowledge sharing.

Online training gives you greater flexibility with the convenience of learning from your office or preferred location. These real-time, interactive courses are scheduled in short sessions over multiple days to cater to your busy schedule.

OnDemand training allows you to learn at your own pace, at any time, and in any location with an internet connection. Many courses are available on a wide variety of topics, ranging from practical guidance for onboarding new staff to risk management for seasoned leaders. We continue to add new titles, so check our website often.

On-site training offers the opportunity to train your whole team at your location. From interactive classroom sessions, online access for remote staff, or any combination of both, an IIA consultant can work with you to create a professional development plan for your team.

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The IIA’s 2020 course schedule can be found in the gatefold insert in the center of this guide. Please refer to it to schedule your training.

Note: All courses and dates are subject to change without notice.

OUR PLACE OR YOUR PLACE. Our pace or your pace.
MEMBERSHIP MEANS MORE.

As a member of The IIA, you receive access to the premier standards-setting and guidance-producing organization for the internal audit profession. Your membership includes many valuable benefits, such as free monthly members-only webinars, as well as discounts on training, certifications, books, and other products and services.

Benefits include:

- **Certifications and Qualifications.** Develop your career by earning professional internal audit credentials and save money doing it.
- **Complimentary CPE Reporting.** Report your CPE credits and maintain your certification with no annual fees (exclusions apply).
- **Training and Events.** Take advantage of special discounts for conferences and training events along with the ability to earn CPE credits through our complimentary monthly members-only webinars.
- **Publications.** Access the leading publication covering the internal audit profession, *Internal Auditor* magazine, as well as thought leadership pieces, blogs, and more.

The IIA offers multiple memberships to fit your professional and career goals. Groups of three or more individuals qualify for a Group Membership to save even more. Connect with more than 200,000 worldwide members of The IIA by joining today at [www.theiia.org/Join](http://www.theiia.org/Join).
# Audit Fundamentals Courses:

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# Tools & Techniques I: New Internal Auditor

**For:** Practitioners / **Level:** Introductory

## Description:
Learn the basics of auditing at the new internal auditor level. This course provides an overview of the life cycle of an audit from a new internal auditor’s perspective, while examining the internal control environment and audit governance.

## Topics Include:
- Defining the phases of an audit life cycle
- Interviewing and communication skills
- Developing documentation, including audit reports
- Performing an audit risk assessment and walk-through of internal controls
- Utilizing sampling and data analysis in fieldwork
- Developing audit observations and findings

## Related Titles:
- Audit Report Writing
- Fundamentals of IT Auditing
- Tools & Techniques II: Lead Auditor
- Tools & Techniques III: Audit Manager

## Testimonials:
- "I learned a lot of new material that I will be able to apply at work."
- "Very informative and helpful...leaving with a better understanding of internal audit."
- "The instructor was one of the best I have ever had...extremely knowledgeable, providing real-world examples and application!"
AUDIT MANAGEMENT COURSES:

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<td>Building a Sustainable Quality Program</td>
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<td>Tools &amp; Techniques II: Lead Auditor</td>
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TOOLS & TECHNIQUES II: LEAD AUDITOR

FOR: PRACTITIONERS / LEVEL: INTERMEDIATE

DESCRIPTION:
Gain insight at the lead auditor level. This course helps you develop the organizational, time management, and problem solving skills necessary to lead a successful team, while examining the life cycle of an audit from a lead auditor’s perspective. This course is designed for internal auditors with 2–4 years of experience who want to learn the concepts, tools, and techniques to enhance their effectiveness and transition into a lead auditor role.

TOPICS INCLUDE:
- Communicating with audit staff
- Defining audit scope, objectives, hours, resources, and key activities
- Prioritizing workload and managing efficient use of resources
- Reviewing audit evidence and workpapers
- Becoming an effective leader
- Leading audit staff

RELATED TITLES:
- Enterprise Risk Management: A Driver for Organizational Success
- Fundamentals of IT Auditing
- Tools & Techniques I: New Internal Auditor
- Tools & Techniques III: Audit Manager

DURATION: 4 DAYS
32 CPEs
TOOLS & TECHNIQUES III: AUDIT MANAGER

FOR: MANAGERS / LEVEL: ADVANCED

DESCRIPTION:
Learn the essential skills required at the audit manager level. This course provides new managers with the tools needed to manage efficiently and effectively in an internal auditing environment, while examining the life cycle of an audit from an audit manager's perspective.

TOPICS INCLUDE:
- Communicating with the CAE, board of directors, and audit committee
- Preparing a value-added audit program
- Developing a strategy for presenting audit findings and recommendations
- Developing management leadership skills
- Understanding the difference between managing and leading
- Managing relationships
- Managing conflict and change
- Marketing internal audit

RELATED TITLES:
- Enterprise Risk Management: A Driver for Organizational Success
- Leadership Skills for Auditors
- The Effective Auditor: Understanding and Applying Emotional Intelligence
- Tools & Techniques II: Lead Auditor
CIA EXAM PREPARATION—PART I: ESSENTIALS OF INTERNAL AUDITING

FOR: PRACTITIONERS / LEVEL: INTERMEDIATE

DESCRIPTION:
The Certified Internal Auditor® (CIA®) credential is the only globally accepted professional certification for internal auditors and remains the standard by which individuals demonstrate their competency and professionalism in the internal audit field. The CIA exam preparation courses provide CIA candidates, internal auditors seeking professional development, and students in accounting, business, or financial degree programs with a comprehensive review of the first part of the CIA exam. Taking this course prepares an internal auditor to take Part 1 of the three-part CIA exam, and, upon successfully passing the exam, applying for the Internal Audit Practitioner designation.

RELATED TITLES:
• CIA Exam Preparation—Part 2: Practice of Internal Auditing
• CIA Exam Preparation—Part 3: Business Knowledge for Internal Auditing
• CGAP Exam Prep Program
• Tools & Techniques I: New Internal Auditor

CIA AND OTHER EXAM PREPARATION COURSES:

- CGAP Exam Prep Program
- CIA Exam Preparation—Part 2: Practice of Internal Auditing
- CIA Exam Preparation—Part 3: Business Knowledge for Internal Auditing

Now aligned with the 2019 updated edition of the International Professional Practices Framework® (IPPF®). These materials cover the entire global CIA exam syllabus, and allow you to create a customized SmartStudy™ plan that’s mobile-optimized, so you can study anywhere, at any time.
EXECUTIVE DEVELOPMENT

VISION UNIVERSITY

FOR: EXECUTIVES / LEVEL: ADVANCED

DESCRIPTION:
Join your executive-level colleagues at Vision University, a unique educational program for new and aspiring chief audit executives (CAEs). Vision University provides internal auditors who are aspiring to the highest level of the audit profession with strategies, best practices, and tools to help you strengthen your audit team and inspire peak performance. The program is facilitated by expert instructors who will guide you and your peers to meet today’s internal auditing challenges.

THIS PROGRAM INCLUDES:
• Proven solutions for real problems, delivered through presentations and small group discussions.
• Ideas and knowledge from expert instructors and guest speakers.
• Techniques to elevate you and your internal audit function to the role of trusted advisor.
• Opportunities to network and build lasting relationships with top executives of major corporations and notable leaders in our profession.

For more information on how to apply for Vision University, please contact VisionU@theiia.org.

RELATED TITLES:
• Auditing Culture: Challenges and Proven Techniques
• Leadership Skills for Auditors
• Leveraging and Influencing Generational Differences
• Political Pressure
FRAUD DETECTION, DETERRENCE, AND INCIDENT RESPONSE FOR INTERNAL AUDITORS

FOR: PRACTITIONERS / LEVEL: INTERMEDIATE

DESCRIPTION:
For many auditors, management's anti-fraud expectations exceed the audit team's capability to deliver. Result: a challenging gap to fill and difficult decisions to be made regarding the application of scarce resources and management of stakeholder expectations. This program will show you how to close that gap. You will learn a comprehensive anti-fraud response that will fit in any organizational setting, as well as discover how to build a tailored battle plan for fighting fraud. Step-by-step instructions will allow you to meet and exceed your professional obligations to objectivity and independence while leading management through what it must do to foster an anti-fraud environment.

RELATED TITLES:
- Auditing Culture: Challenges and Proven Techniques
- Cybersecurity Auditing in an Unsecure World
- FCPA: Anti-corruption Overview
- Risk Fraud and Illegal Acts

FRAUD COURSES:
- Assessing Fraud Risks
- FCPA Anti-corruption Overview
- Risk Fraud and Illegal Acts
# Governance, Risk, and Control Courses:

- Advanced Risk-based Auditing
- Auditing Culture: Challenges and Proven Techniques
- Auditing IT Governance
- Auditing Third-party Risk
- COSO-based Auditing: Auditing for Control Activities
- COSO-based Auditing: Overview
- COSO-based Auditing: Risk Assessment
- COSO-based Internal Auditing
- COSO Enterprise Risk Management Certificate Program
- COSO Internal Control Certificate
- Enterprise Risk Management: A Driver for Organizational Success
- Fundamentals of Risk-based Auditing
- Governance Overview
- Governance, Risk Management, and Controls Bundle
- Internal Controls
- Risk Management
- Risk Management Assurance: Developing Your Internal Audit Strategy
- Risk-based Auditing: A Value Add Proposition
COSO ENTERPRISE RISK MANAGEMENT CERTIFICATE PROGRAM

FOR: MANAGERS
LEVEL: INTERMEDIATE

DESCRIPTION:
The complexity of enterprise risk has changed. New risks have emerged, and managing this has become everyone’s responsibility. The new COSO Enterprise Risk Management Certificate offers you the unique opportunity to learn the concepts and principles of the newly updated ERM Framework and be prepared to integrate the framework into your organization’s strategy-setting process to drive business performance.

RELATED TITLES:
- COSO-based Auditing: Auditing for Control Activities
- COSO-based Auditing: Overview
- COSO-based Auditing: Risk Assessment
- COSO-based Internal Auditing
- COSO Internal Control Certificate

COSO INTERNAL CONTROL CERTIFICATE

FOR: PRACTITIONERS, MANAGERS
LEVEL: INTERMEDIATE

DESCRIPTION:
This course offers you a unique opportunity to develop expertise in designing, implementing, and conducting an internal control system. This 25.5-credit program takes you through the COSO Internal Control – Integrated Framework (Framework) from start to finish and, through the use of real-world scenarios, allows you to understand the principles-based approach, identify and analyze risks, develop confidence in the internal control system, and learn from experts as well as share your experiences with your peers. Upon completion of the course, you will be eligible to sit for the exam and earn your COSO Internal Control Certificate.

NOTE: Two pre-work self-study courses are required to be completed prior to the start of the in-person training. Access to the pre-work is provided 7–10 business days before the start of the in-person training.

RELATED TITLES:
- COSO-based Auditing: Auditing for Control Activities
- COSO-based Auditing: Overview
- COSO-based Auditing: Risk Assessment
- COSO-based Internal Auditing
- COSO Internal Control Certificate
SOFT SKILLS COURSES:

- Assurance Engagement Communications
- Audit Interviews
- Audit Report Quality
- Auditing Culture
- Auditing Model Risk Management
- Communication Basics
- Communication Skills for Auditors
- Critical Thinking in the Audit Process
- Leadership Skills for Auditors
- Leveraging and Influencing Generational Differences
- Liquidity Risk Management
- The Effective Auditor: Understanding and Applying Emotional Intelligence
## CRITICAL THINKING IN THE AUDIT PROCESS

**FOR:** PRACTITIONERS, MANAGERS  /  **LEVEL:** INTERMEDIATE

**DESCRIPTION:**
This course demonstrates how critical thinking can be weaved throughout key elements of the audit process such as risk assessment, interviewing, testing and analysis, process documentation, and reporting. Critical thinking is disciplined thinking that is clear, rational, open-minded, and informed by evidence, which makes it ideally suited for application in an audit setting. The critical thinking concepts and practices presented are designed to enhance audit effectiveness and deliver measurable value to audit customers that win internal audit a seat at the table for key business decisions.

### RELATED TITLES:
- The Effective Auditor: Understanding and Applying Emotional Intelligence
- Leadership Skills for Auditors

### CPES 16
**DURATION:** 2 DAYS

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## LEADERSHIP SKILLS FOR AUDITORS

**FOR:** MANAGERS  /  **LEVEL:** INTERMEDIATE

**DESCRIPTION:**
Learn tools and techniques for cultivating and maintaining an effective and efficient team, while staying motivated and productive through the entire audit process. Experienced facilitators will utilize various teaching methods and provide valuable input on understanding human behavior, helping you focus on the needs of individuals and improve communication abilities within an audit team as well as with stakeholders.

### RELATED TITLES:
- Relationships, Interpersonal Skills, and Auditing: Becoming a Trusted Advisor
- The Effective Auditor: Understanding and Applying Emotional Intelligence

### CPES 32
**DURATION:** 4 DAYS

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## TECHNOLOGY COURSES:

- Application Controls
- Application Security
- Audit Recovery Processes
- Auditing IT Governance
- Auditing Security Monitoring (Watching the Watchers)
- CAATs, Data Mining, and Other Internal Audit Activities
- Cybersecurity Auditing in an Unsecure World
- Data Analysis and Sampling
- Data Analysis for Internal Auditors
- Data Analysis Overview
- Data Analytics Essentials Bundle
- Data Sampling
- Defining Populations for Data Analytics
- End-user Computing
- Fundamentals of IT Auditing
- IT General Controls
- Logical Security: Application, Database, and Operating System Layers
- Logical Security: The Network Layer
- Statistical Sampling for Internal Auditors
- Understanding and Auditing Big Data
CYBERSECURITY AUDITING IN AN UNSECURE WORLD

FOR: PRACTITIONERS, MANAGERS, EXECUTIVES / LEVEL: INTRODUCTORY

DESCRIPTION:
Cybersecurity issues present grave risks beyond IT security. A severe breach can be costly enough to sink a company or substantially impact its shareholder value, oust its leadership, potentially bury its reputation, and worse. Developed by leading industry experts, this course views cybersecurity from preventive, detective, and corrective angles, and applies audit processes and protections to the cloud and today’s ever-present mobile devices.

RELATED TITLES:
- Application Controls
- Application Security
- Audit Recovery Processes
- Fundamentals of IT Auditing

DURATION: 2 DAYS
16 CPEs
ONLINE
ON-SITE

FUNDAMENTALS OF IT AUDITING

FOR: PRACTITIONERS, MANAGERS, EXECUTIVES / LEVEL: INTRODUCTORY

DESCRIPTION:
The continued advent of technology means that to be fully effective and focused on the areas of greatest return, auditors can no longer afford to ignore IT auditing. Even without technical knowledge, auditors can and should develop knowledge of basic IT audit concepts that can be used to facilitate integrated audits. After this course, internal auditors will be able to perform an audit of IT applications supporting key business processes and perform a risk assessment and evaluation of controls over end-user computer applications.

RELATED TITLES:
- Cybersecurity Auditing in an Unsecure World
- End-user Computing
- Logical Security: Application, Database, and Operating System Layers
- Logical Security: The Network Layer

DURATION: 2 DAYS
16 CPEs
IN-PERSON
ONLINE
ON-SITE
GROUP TRAINING SOLUTIONS

Group Training Solutions help you control costs and reduce your staff’s downtime by bringing The IIA’s industry-leading training to you.

Organizations with a need to train multiple team members can take advantage of group training solutions through The IIA. Our Group Training Solutions consultants will tailor an IIA Training program based on your organization’s priorities, the needs of your staff, and the learning objectives you set.

Your Group Training Solutions consultant can build group training packages that bundle all training: In-person, Online, and OnDemand, as well as CIA exam preparation. We can provide a package with savings and convenience to support your entire team’s training needs.

Your consultant will:

- Conduct a detailed needs analysis to understand your professional development needs.
- Match your team with a skilled facilitator who specializes in the specific training you need.

FOR A COMPLIMENTARY CONSULTATION, contact us at +1-407-937-1388 or TeamDevelopment@theiia.org, or visit www.theiia.org/TeamDev.
SPOTLIGHTS

IIA Bookstore

February – July 2020

New Auditor’s Guide to Internal Auditing
SEE PAGE 6 FOR DETAILS.

Internal Audit of the Future: The Impact of Technology Innovation
SEE PAGE 7 FOR DETAILS.

Agile Auditing: Transforming the Internal Audit Process
SEE PAGE 8 FOR DETAILS.

$10 OFF*

*Limit one promotional code per order. Cannot be combined with other promo codes.

February – July 2020

www.theiia.org/Bookstore

Rick A. Wright Jr., CIA
Transforming the Internal Audit Process
AGILE
AUDITING
This IIA Bookstore catalog was created with your professional development needs in mind. Whether you are just beginning your internal audit career, need management-minded and team training resources, or seek executive-level thought leadership, we have organized resources by category and topic.

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Governance, Risk, and Control ................................. 14–15
Technology/Soft Skills ................................................... 16–17
ENTRY LEVEL LIBRARY ESSENTIALS

New Internal Auditor Bundle

Comprehensive, yet easy-to-read content is the cornerstone of any new auditor’s professional library.

Item No. 1313
Member Price: $219.99
Nonmember Price: $269.99

MANAGEMENT LEVEL LIBRARY ESSENTIALS

New Internal Audit Manager Bundle

As your career advances, so should your knowledge and ability to handle higher level issues.

Item No. 1314
Member Price: $99.00
Nonmember Price: $124.00

EXECUTIVE LEVEL LIBRARY ESSENTIALS

Chief Audit Executive Bundle

Becoming — and remaining — an effective and trusted audit leader requires continuous learning.

Item No. 1310
Member Price: $159.99
Nonmember Price: $199.99
Get the Risk Bundle That Nails It!

Risk challenges come from every direction. To hit the mark and make sure you’re covered from every angle, you must draw from a variety of resources.

The IIA Bookstore’s risk bundle hits the bullseye with four new publications that cover the most pressing issues related to risk.

This bundle is designed to empower practitioners to enhance the value they add to their organizations around risk.

All four titles offer practical, real-world advice for strengthening risk assessment and management processes, with tools to support your efforts.

Purchase the whole bundle now and SAVE 25%!

Visit www.theiia.org/RiskBundle
Letting any of your organization’s data slip through your fingers can cost you. It’s why creating an effective data mining and analytics framework is critical.

The IIA Bookstore, powered by the Internal Audit Foundation, offers a data analytics bundle that stops the chaos of information overload and helps you:

- Develop a data analytics framework to accomplish multiple audit objectives.
- Enhance internal audit efficiency through the use of data mining and analytics.
- Eliminate duplicate data mining and analysis efforts across audit and other functions.
- Determine the optimal effort needed to maximize your framework.

With these two publications, *Data Analytics: Elevating Internal Audit’s Value* and *Data Analytics: A Road Map for Expanding Analytics Capabilities*, you will receive a step-by-step approach to accomplishing all of this while also saving money. Add this book bundle to your library today and save!

**STOP THE CHAOS!**
Learn How to Effectively Manage Your Organization’s Data
**Internal Auditing: Assurance & Advisory Services, Fourth Edition**

This comprehensive textbook is designed to teach students the fast-growing global profession of internal auditing. Written through the collaboration of educators and practitioners, this textbook serves as a cornerstone for internal audit education. It covers key fundamentals of internal auditing that can be applied in an ever-changing business world, serving as a reference and training tool for internal audit practitioners.

Item No. 1249  
Member Price: $149.99  
Nonmember Price: $199.99

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**Clarity, Impact, Speed: Delivering Audit Reports That Matter**

This time-tested handbook covers report-design and report-writing techniques, enabling auditors to construct clear and persuasive audit reports that address current and emerging demands. Small or large, newly established or long-standing, private or public, this book is applicable to all.

Item No. 1085  
Member Price: $57.59  
Nonmember Price: $71.99

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With insightful input from internal auditors around the world, The IIA’s updated *International Professional Practices Framework®* (IPPF®), or “Red Book,” is more relevant than ever and should be a staple for all audit practitioners as they strive to align with improvements to the framework that steers the profession.

Enhancements include the new Mission of Internal Audit, Core Principles for the Professional Practice of Internal Auditing, two new standards, alignment of the Standards to the Core Principles, and updates to existing standards.

The user-friendly guide includes an intuitive table of contents, well laid-out pages, and a quick reference index. In addition, your purchase of the hardcover edition comes with a USB drive containing all Supplemental Guidance. Also available as an eBook with enhanced search capabilities.

Item No. 1250  
Member Price: $69.99  
Nonmember Price: $89.99

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**New Auditor’s Guide to Internal Auditing**

This book meets the specific needs of new auditors as well as educators and others who support them. While the book is targeted at beginners, the other angle is what audit leaders can do to prepare for beginners. There are also broader concepts relevant to them at the end of each chapter.

Item No. 1305  
Member Price: $59.99  
Nonmember Price: $74.99

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**www.theiia.org/Bookstore**

*Limit one promotional code per order. Cannot be combined with other promo codes.*
Applying the IPPF, 4th Edition
With practical guidance on how to put The IIA’s International Professional Practices Framework (IPPF) into action, this edition reflects the 2017 revisions to the International Standards for the Professional Practice of Internal Auditing, the Core Principles, and the Implementation Guides. The authors take two new approaches — looking at internal audit application of the IPPF through a maturity model lens and from the perspective of small audit departments. They review basic information on the essential elements required for providing high-quality internal audit services, discuss in depth those elements representing significant change, and provide specific practical tools and techniques.

Item No. 1275
Member Price: $59.99
Nonmember Price: $69.99

Quality Assessment Manual for the Internal Audit Activity, 2017 IPPF Aligned
Revised to incorporate requirements of The IIA’s International Professional Practices Framework (IPPF) and the update to the International Standards for the Professional Practice of Internal Auditing effective Jan. 1, 2017. This is a valuable resource for all organizations with a Quality Assurance and Improvement Program or for those entities responsible for conducting external assessments.

Item No. 1256
Member Price: $230.00
Nonmember Price: $285.00

Sawyer’s Internal Auditing: Enhancing and Protecting Organizational Value, 7th Edition
This seventh edition builds on Sawyer’s legacy by looking at internal auditing from a business perspective. It is organized to show what chief audit executives need to know to develop the ideal internal audit function and what internal auditors need to know to deliver current internal audit services and products.

Item No. 1304
Member Price: $199.99
Nonmember Price: $249.99

Internal Audit of the Future: The Impact of Technology Innovation
This book provides a high-level overview of the major changes reshaping the demands on internal auditors and lays the foundation for your audit function’s shift to emerging technological internal assurance.

Item No. 1312
Member Price: $29.99
Nonmember Price: $39.99
Data Analysis and Sampling Simplified: A Practical Guide for Internal Auditors

This is a book about data — about how to reduce large data sets down to the critical few high-risk subsets — and how to select items or transactions for testing. Most of the book is devoted to data analysis, or what is more formally described as analytical procedures. Every audit is different, yet all audits follow the same basic path — planning, fieldwork, reporting, and follow-up. Each of the four phases of an audit is composed of distinct activities. With respect to data analysis and sampling, it is important to appreciate that different analytical activities occur at different stages in the audit process. Numerous exhibits and case studies are included in each chapter, helping the reader review practical applications of the concepts presented.

Item No. 1315
Member Price: $59.99
Nonmember Price: $74.99


Auditing Social Media, 2nd Edition shows you how to leverage the power of social media for instant business benefits — such as reaching new markets and increasing sales, while evaluating the risks involved.

Item No. 1316
Member Price: $43.99
Nonmember Price: $54.99

Risky Business: Principles of Auditing Property and Casualty Insurance

The legal environment of the insurance industry is complex and changes quickly. Risky Business will assist both new and experienced internal auditors with their assurance and advisory work when auditing property and casualty insurance organizations.

Item No. 1223
Member Price: $39.99
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Agile Auditing: Transforming the Internal Audit Process

Agile IA is an innovative approach that uses Agile software development values, principles, and practices to transform how internal audit engagements are executed. This methodology enhances the internal audit value proposition by facilitating a more agile approach to addressing organizational risk dynamics.

Item No. 1317
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Become a Strategic Internal Auditor: Tying Risk to Strategy

Today’s business world requires internal auditors to take a more strategic approach to managing risks and achieving goals. This book outlines steps you can immediately take to become a more strategic partner in your organization.

Item No. 5033
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Evaluating and Improving Organizational Governance

As an experienced internal auditor, you know there is no one-size-fits-all governance model and no “blueprint” for what an organization’s governance structure should look like. This is why it is important that all personnel — from the governing board to the organization’s front line — use this handbook to ensure that effective governance exists.

Item No. 1074
Member Price: $59.19
Nonmember Price: $73.99


An organization’s intellectual property (IP) can be quite valuable. A valued addition to every internal auditor’s library, this book will prove to be an indispensable guide to performing IP audits and ensuring that IP rights are understood and protected.

Item No. 1196
Member Price: $47.99
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A Journey Into Auditing Culture

The relatively new topic of auditing culture is a challenging one for internal auditors, as the risks and controls are more difficult to identify, assess, and audit. There are a number of emerging approaches to this type of audit, and this book provides both suggested approaches and a framework of areas to consider when examining the topic.

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NEW PRODUCTS
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New insights from the SEC, PCAOB, and The IIA prompted award-winning author Norman Marks to update his book, *Management’s Guide to Sarbanes-Oxley Section 404*. The new edition includes information intended to keep CEOs, CFOs, and anyone who signs off on required internal control-related reports from running afoul of guidelines.

Item No. 1271
Member Price: $44.99
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The Speed of Risk: Lessons Learned on the Audit Trail, 2nd Edition
In this second edition of *Lessons Learned on the Audit Trail*, author Richard Chambers shares fresh perspectives on the lessons in the first edition and imparts new lessons learned during the last few years. He has invested more than four decades serving the profession. His belief and motivation in writing this new edition is that if he can help just one internal auditor to be better prepared for the challenges and opportunities that lie ahead, then the effort will have been worth it.

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Item No. 1307 (Hardcover)
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COSO Internal Control – Integrated Framework: 2013 (Framework)
Issued by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), the 2013 Internal Control – Integrated Framework (Framework) is expected to help organizations design and implement internal control in light of many changes in business and operating environments since the issuance of the original Framework in 1992. The new Framework retains the core definition and five components of internal control, and it continues to emphasize the importance of management judgment in designing, implementing, and conducting a system of internal control, and in assessing its effectiveness. It broadens the application of internal control in addressing operations and reporting objectives, and clarifies the requirements for determining what constitutes effective internal control.

Item No. 6278
Member Price: $140.00
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The Evolving Role of the CAE: Taking on Compliance and ERM
Drawing upon their broad knowledge, today’s chief audit executives may be called upon to assume expanded responsibilities outside of traditional internal auditing. This report explores the benefits and challenges from different perspectives.

Item No. 5065
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The IIA Bookstore Spotlights
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Trusted Advisors: Key Attributes of Outstanding Internal Auditors

Trust is crucial to internal audit’s success. It is how we are able to be heard and effect positive change on critical issues. Award-winning author Richard Chambers, president and CEO of The IIA, taps the insights of an array of leading internal auditors and, from their experiences, provides insights into the traits that characterize outstanding internal auditors and those who have also earned a “seat at the table.”

Item No. 1224 Paperback / Item No. 1252 Hardcover
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GREAT Ways to Motivate Your Staff: Shaping an Audit Team That Adds Value and Inspires Business Improvement

Effective CAEs position their internal audit departments to add value and inspire business improvement by maximizing the productivity and contribution of their internal audit colleagues. This report provides insights on how CAEs and other audit leaders can improve their practices for evaluating and motivating internal auditors. Learn strategies for goal setting, retaining talent, equipping employees, assessing performance, and treating success.

Member Price: Free
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Data Analytics: Elevating Internal Audit’s Value

Today’s audit leader struggles with creating an integrated, efficient approach to data mining that maximizes the impact and value the audit department delivers. Data Analytics: Elevating Internal Audit’s Value is the result of a research project that aimed to lead to the design of a data analytics framework to help internal audit functions.

Item No. 5074
Member Price: $29.99
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Data Analytics: A Road Map for Expanding Analytics Capabilities

Data analytics is becoming a key tool for internal auditors and many business users. Written in collaboration with Grant Thornton, this book addresses the need for the right organizational structure to achieve analytics success. Topics include the evolving data and analytics needs of companies, the shift toward an analytics and digital transformation, how to define and score analytics priorities, how to blend and enrich data to create “thick data,” and how to measure the impact and value of analytics activities.

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Managing Risk in Uncertain Times: Leveraging COSO’s New ERM Framework

In today’s business environment, risk can come from all directions and knowing where to turn for guidance can be challenging. Author and ERM expert Paul Sobel helps internal auditors and risk managers understand the implications of *COSO Enterprise Risk Management – Integrating with Strategy and Performance*. Sobel explains how to use the COSO ERM Framework as an evaluation tool and highlights the alignment of the updated Framework with The IIA’s latest IPPF and implementation guidance.

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COSO Enterprise Risk Management - Integrating with Strategy and Performance

The updated Framework helps organizations stay several moves ahead of the game in today’s volatile, complex, and ambiguous ERM landscape by focusing on ERM challenges and evolving expectations that business leaders and boards face, highlighting the importance of ERM in strategic planning, and emphasizing the embedding of ERM throughout an organization.

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Fraud Risk Management Guide

Issued by COSO, this guide offers guidance that defines principles and points of focus for fraud risk management and describes how organizations of various sizes and types can establish their own effective and efficient fraud risk management program. It also includes examples of key program components and resources that organizations can use as a starting place to develop their own program.

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Assessing and Managing Strategic Risks: What, Why, How for Internal Auditors

Designed to provide internal auditors with sound, practical advice that can better enable them to meet the critical challenge of today’s dynamic risk environment. The primary focus is on two key processes: the assessment of strategic risks and strategic risk management. Content outlines frameworks on strategy, strategic risks, and a basic strategic risk assessment methodology.

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Performance Auditing: Measuring Inputs, Outputs, and Outcomes, Third Edition

Developed for the practitioner and trainer, it emphasizes the use of measurement and comparison in performance assessment. Gleaning leading standards from the U.S. Government Accountability Office (GAO), the International Organization of Supreme Audit Institutions (INTOSAI), and The IIA, this valuable resource provides concepts and best practices to execute a performance assessment.

Item No. 1233  
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Internal Audit Capability Model (IA-CM) for the Public Sector

Designed for the public sector, this book serves as the global model for communicating what is meant by effective internal auditing and how it serves an organization and its stakeholders — and for advocating the importance of internal auditing to decision makers. It is also a framework for assessing the capabilities of an internal audit activity against professional internal audit standards and practices, either as a self-assessment or an external assessment.

Item No. 1272  
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It’s critical that professionals continuously refine their skills and knowledge to assess risk. Internal auditors at all levels and functions will gain confidence, be prepared to conduct risk assessments, receive step-by-step guidelines on how to use a risk assessment to create an audit plan, and understand the merits of alignment with business objectives. Purchase includes a comprehensive resource package with customizable templates and other useful elements.

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Artificial Intelligence: The Data Below

Awareness of data’s role in artificial intelligence will help internal auditors design an audit plan that addresses distinctive risks. Importantly, failure to identify and control artificial intelligence data risks upfront will generate further risks downstream, specifically to reputation, reporting, and management decision-making, just a few of the land mines associated with artificial intelligence.

Item No. 5099.DL
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Wayfinding: Stories of Internal Audit Leaders Navigating to Success

There is no formula for success, but there is a method. Many of the stories in this book describe life courses navigated by an ancient method: wayfinding. They tell the experience each of the leaders had finding their way through a unique combination of chance and choice.

Item No. 1276
Member Price: $19.99
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The Security Intelligence Center / Next Steps: Beyond Response to Anticipation

As cyberattacks grow in frequency, severity, and complexity, cybersecurity professionals are urging organizations to move beyond a defensive and reactive approach, to a more proactive approach, allowing for the prediction and anticipation of cybersecurity threats. This report is intended to help cybersecurity professionals, CAEs, and other stakeholders explore broader issues of cybersecurity and answer important questions.

Member Price: Free
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Auditing and Disruptive Technologies

There are huge risks in implementing disruptive technologies, and some will stumble, fall, and fail. Those that thrive will most likely have cutting-edge audit teams working on and with the latest technologies. The time for action is today. Are you ready to lead from the front?

Item No. 1284
Member Price: $29.99
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The evolution of the internal audit profession toward a more value-added risk assurance function continues to move forward. While technical skills are needed for day-to-day work, critical thinking and communication skills continue to be at the top of the list. This report identifies the top seven skills sought by CAEs and attributes that most CAEs are recruiting or building into their functions.

**Member Price:** Free  
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**Collaborative Auditing**

*Collaborative Auditing* provides guidance through an understanding that internal audit’s responsibility goes beyond individual audit engagements to ensuring that key stakeholders get the knowledge and tools they need to improve processes and address the root causes of issues within their organizations.

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**Member Price:** $39.99  
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**The Politics of Internal Auditing**

This research report addresses political pressure on CAEs and their internal audit departments. Surveying and interviewing nearly 500 CAEs from various business sectors around the world, researchers Larry Rittenberg and Patty Miller share how effective CAEs work to mitigate political pressure and lessons learned that may assist you in managing it.

**Item No. 5060**  
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