Building a Sustainable Quality Program

About This Course

Course Description
An internal audit activity demonstrates its commitment to quality by meeting the expectations of its stakeholders while continuing to improve the effectiveness and efficiency of its operations. A successful quality assurance and improvement program (QAIP) is crucial to achieving this goal.

Building an effective QAIP is similar to establishing a total quality management program, where products and services are analyzed to verify they meet stakeholder expectations, operations are evaluated to determine their efficiency and effectiveness, and practices are assessed to confirm their conformance to standards. Once the QAIP has been established, sustaining it requires leaders who are responsible for setting the proper tone in support of quality.

This course will help you learn how to build and maintain an effective QAIP, leading to a successful external quality assessment.

Course Objectives
- Identify the Standards related to building a sustainable quality program.
- Identify the Core Principles and associated Standards related to building a sustainable quality program.
- Identify opportunities to improve the quality and value of internal audit services.
- Demonstrate the ability to build the two key components of an effective QAIP: ongoing and periodic assessments.
- Demonstrate the ability to develop a QAIP that exemplifies quality and continuous improvement.
Course Topics

The IPPF
- The Mission and Definition
- The Standards and the Core Principles
- Internal Audit Quality Standards and Maturity Models
- The Core Principles and the QAIP

Internal Audit Governance
- Independence and objectivity
- IPPF Code of Ethics
- Purpose, authority, and responsibility
- Stakeholder relationships

Internal Audit Management
- Principles
- Managing the internal audit activity
- Nature of work

Internal Audit Staffing
- Principles
- Proficiency and continuing professional development
- Due professional care
- Implementation and supplemental guidance
- Talent management
- The IIA Global Internal Audit Competency

Internal Audit Process
- Audit project planning
- Audit project execution
- Audit project reporting
- Internal audit process implementation guidance
- Process innovation and improvement

Building a Sustainable Quality Program
- Principles related to the quality program
- Components of a QAIP
- Developing a sustainable QAIP
  - Ongoing monitoring
  - Periodic self-assessments
- Measuring internal audit value and quality
Course Information

**Course Duration:** 2 Days

**CPE Hours Available:** 16

**Knowledge Level:** Intermediate

**Field of Study:** Auditing

**Prerequisites:** Participants should have 3–5 years’ experience in internal audit.

**Advance Preparation:** None

**Delivery Formats:** On-site Training (Group-Live); Seminar (Group-Live)